

EDISON FOARD/WHARTON-SMITH MINORITY BUSINESS ENTERPRISE PLAN for the MECKLENBURG NORTHERN REGIONAL RECREATION CENTER

It is the policy of Edison Foard/Wharton-Smith in collaboration with Lil Associates to give maximum opportunities for participation to HUB Contractors on projects which the company may be affiliated. Our team in support of the principal of fair and equal opportunities for participation has established a program specifically designed to ensure that Historically Underutilized Businesses have increased opportunities for participation in the construction industry.

HISTORICALLY UNDERUTILIZED BUSINESS ENTERPRISE STRATEGIC PLAN OBJECTIVES:

The plan objectives are:

1. Increase the overall participation of Historically Underutilized Businesses on this project and exceed 21% MWSBE participation.
2. Collaborate with Historically Underutilized Business contractors to achieve an equitable distribution of construction contract awards.
3. Provide tracking of MWSBE Utilization during construction

To accomplish this, the following approach will be implemented during preconstruction:

1. Our team will provide extensive outreach and contracting opportunity conferences: We will use the large pool of subcontractors from Edison Foard, Wharton-Smith and Lil Associates lists. We will use relationships from all three of our firms to develop a large group of contractors to engage with for prequalification. Our outreach effort is aggressive, comprehensive, targeted and continuing. We will identify all applicable HUB Contractors, effectively communicate project opportunities, encourage HUB Contractors to submit information regarding their services, and we will support their participation throughout the project. We also understand that there are HUB Contractors which may not have been successful in assuring a subcontract directly with our team or subcontractors who feel they can better serve as tiered subcontractors due to their operating capacities should have opportunities to participate on the project.
2. Subcontractor Opportunity Sessions: Our team will conduct a HUB outreach session in early May 2019. Contracting Opportunity Conferences will be held to provide detailed information regarding the project and give interested HUB Contractors an opportunity to meet one-on-one with the EFWS project team.
3. Technical assistance: We will offer project prequalification assistance and training so that everyone understands the forms and the project requirements.

Notification

To promote awareness among the HUB contractors contracting community, we feel that the qualified HUB contractors should be identified very early in the development, design, and procurement process.

EFWS &LA will implement the following initiatives during the notification phase:

- Solicit interest from trade contractors, subcontractors, vendors, and suppliers by placing advertisements in the area newspapers and media outlets.
 - Charlotte News and Observer
 - MMCA Website
 - NC IPS Website
 - Greater Diversity News
- EFWS & LA will have HUB Subcontractor Opportunity Session.
- Work closely with Mecklenburg County to identify local subcontractors who would want to become engaged in the project and exchange information regarding the project bidding process.
- Conduct pre-bid conferences in advance of the bid date for the HUB firms for each bid package.
- Send bid information to local, regional and national HUB contractor organizations in an effort to solicit more participation.
- Develop subcontractor interactions to encourage local prime majority businesses to team with local minority Mentoring programs have been used in the public and private sectors to encourage business relationships between prime contractors and HUB contractors

Prequalification Evaluation

The second phase evaluates this information to verify subcontractor/vendor capability to meet performance, schedule and financial requirements.

Measures for evaluating and selecting minority suppliers and subcontractors include:

- A review of the supplier's/subcontractor's history for providing identical or similar items or services
- An assessment of the supplier's/ subcontractor's capability based on evaluation of its facilities, personnel, and programs
- An evaluation of documented qualitative and quantitative information provided by the HUB supplier/subcontractor
- Reference checks
- Proof of Insurance
- Proof of Bonding Capacity
- Audited Financial Statement
- Statewide Uniform Certification/Verification

We require that all HUB contractors have current North Carolina StateWide Uniform Certification. Copies of these letters of certification will be forwarded to Mecklenburg County for its use in documenting HUB utilization for the project as required.

Tracking During Construction

Contract compliance during construction: We are committed to comply with and exceed the Mecklenburg County goals and expectations. Our project manager will personally review the progress of the HUB Contractors effort to ensure compliance. We will prepare monthly reports on contracts awarded to HUB Contractors and payments to HUB Contractors. We will also send an electronic copy of the HUB report monthly with the pay applications.

Our team program will track utilization of HUB contractors through monthly payments. We will work to increase participation at lower tier levels through the following:

- Identify and document acceptable “good faith efforts” on the part of such suppliers and contractors to obtain HUB participation in the performance of contracts.
- Determine what a supplier or subcontractor may count towards its HUB goal in contracts or purchase orders to firms that perform a commercially-useful function in the work for which the HUB Contractors is certified.
- Report executed arrangements with HUBs, partial and final waivers of lien from HUB subcontractors, monthly HUB Utilization Reports, and notarized sworn statements executed to reflect the current status of effective and projected payments to HUB Contractors.
- Identify responsibilities and procedures for maintaining records of all relevant data with respect to the utilization of HUB contractors’ final acceptance of the work.

EDISON FOARD/WHARTON-SMITH JV PREQUALIFICATION SCHEDULE AND PROCEDURES NORTHERN REGIONAL RECREATION CENTER FOR MECKLENBURG COUNTY

Package 1 Prequalification Schedule:

- Advertise for prequalification: April 1, 2019
- Invite potential bidders to submit prequalification: April 1, 2019
- Last date to submit prequalification: May 3, 2019
- Advertise for bids: June 6, 2019
- Bid documents (including pre-bid meeting and bid dates) will be available for download or view on our:
Prequalification Folder: [Link TBD Autotask Link](#)
Bidding Folder: [Link TBD](#)

(Drawings may be issued to any company whose prequalification form has been received prior to May 3, but not yet processed by the Prequalification Committee. This also applies to any company who has submitted a written appeal of denial to the Prequalification Committee. This does not guarantee prequalification but rather allows the company to have as much of the full bid period as possible while the Prequalification Committee reviews their application or appeal).

- Last day for EFWS to issue prequalification or denial notification: May 10, 2019
- Pre-bid meeting: **TBD** – Early June 2019
- Bid date: **TBD** - likely July 11, 2019

The Prequalification Committee

This committee shall be comprised of Edison Cassels (Edison Foard), Bret Estridge (Wharton-Smith), Stephanie Berwald (Lil Associates) and Peter Wasmer (Mecklenburg County). Team will review all denials based on points or if there is a specific reason someone should not be prequalified.

Appeals:

A firm may appeal the denial of prequalification based on Mecklenburg County Policy for Prequalification of Bidders for Construction Projects (provided with prequalification form) Under Section E.1.a, after written appeal is received, the Prequalification Committee will rule on the appeal and notify the company of their ruling within three business days.

Prequalification for Re-bids:

For any bid package not opened on bid day, a re-bid date will be established and re-advertised. Bids will be accepted from companies who have previously been prequalified for re-advertised bid package(s) whether they actually submitted a bid on the original bid date or not. However, the prequalification submittal period will be re-opened for re-advertised bid package(s) to allow additional companies to participate in the re-bid under the following process:

- Within five calendar days of re-advertisement, company shall notify Prequalification Committee in writing of their intent to submit a prequalification and bid. Bid documents will then be made available for download or view on our website while company is preparing prequalification form and shall submit prequalification form to the Prequalification Committee. Otherwise, company's bid will not be accepted.
- The appeal process for the re-bids will follow the same process as indicated in the Mecklenburg County Policy for Prequalification of Bidders for Construction Projects, Section E.
- In the event the company has not received notification of prequalification by the re-bid date, the company will be allowed to submit a bid on the re-advertised bid package(s) subject to prequalification.

- In the event the company is appealing a denial of re-bid prequalification by submitting a written appeal within three days of receipt of denial notification, the company will be allowed to submit a bid on the re-advertised bid package(s) subject to the final decision of the appeal. If the company's bid is opened prior to a final decision on the appeal and the bid is not the lowest responsive monetary bid for the re-advertised bid package(s), the appeal shall be terminated and rendered moot.

Edison Foard/ Wharton-Smith, Inc. Prequalification Ratings Matrix for First-Tier Subcontractors on Mecklenburg County Projects

Date: 4-Mar-19

Project	North	Central	South	East	West	Global
Project A	10	20	30	40	50	60
Project B	15	25	35	45	55	65
Project C	20	30	40	50	60	70
Project D	25	35	45	55	65	75
Project E	30	40	50	60	70	80
Project F	35	45	55	65	75	85
Project G	40	50	60	70	80	90
Project H	45	55	65	75	85	95
Project I	50	60	70	80	90	100
Project J	55	65	75	85	95	105
Project K	60	70	80	90	100	110
Project L	65	75	85	95	105	115
Project M	70	80	90	100	110	120
Project N	75	85	95	105	115	125
Project O	80	90	100	110	120	130
Project P	85	95	105	115	125	135
Project Q	90	100	110	120	130	140
Project R	95	105	115	125	135	145
Project S	100	110	120	130	140	150
Project T	105	115	125	135	145	155
Project U	110	120	130	140	150	160
Project V	115	125	135	145	155	165
Project W	120	130	140	150	160	170
Project X	125	135	145	155	165	175
Project Y	130	140	150	160	170	180
Project Z	135	145	155	165	175	185
Project AA	140	150	160	170	180	190
Project AB	145	155	165	175	185	195
Project AC	150	160	170	180	190	200
Project AD	155	165	175	185	195	205
Project AE	160	170	180	190	200	210
Project AF	165	175	185	195	205	215
Project AG	170	180	190	200	210	220
Project AH	175	185	195	205	215	225
Project AI	180	190	200	210	220	230
Project AJ	185	195	205	215	225	235
Project AK	190	200	210	220	230	240
Project AL	195	205	215	225	235	245
Project AM	200	210	220	230	240	250
Project AN	205	215	225	235	245	255
Project AO	210	220	230	240	250	260
Project AP	215	225	235	245	255	265
Project AQ	220	230	240	250	260	270
Project AR	225	235	245	255	265	275
Project AS	230	240	250	260	270	280
Project AT	235	245	255	265	275	285
Project AU	240	250	260	270	280	290
Project AV	245	255	265	275	285	295
Project AW	250	260	270	280	290	300
Project AX	255	265	275	285	295	305
Project AY	260	270	280	290	300	310
Project AZ	265	275	285	295	305	315
Project BA	270	280	290	300	310	320
Project BB	275	285	295	305	315	325
Project BC	280	290	300	310	320	330
Project BD	285	295	305	315	325	335
Project BE	290	300	310	320	330	340
Project BF	295	305	315	325	335	345
Project BG	300	310	320	330	340	350
Project BH	305	315				

Name: Northern Regional Recreation Center

[illegible]

Edison Foard/ Wharton-Smith, Inc. Prequalification Ratings Matrix for First-Tier Subcontractors on Mecklenburg County Projects

Date: 4-Mar-19
 Project Name: Northern Regional Recreation Center

			1	2	3	4	5	6	7	8
			Subcontractor Name	Subcontractor Name	Subcontractor Name	Subcontractor Name	Subcontractor Name	Subcontractor Name	Subcontractor Name	Subcontractor Name
Form #	Description	Max Pts	Pts	Pts	Pts	Pts	Pts	Pts	Pts	Pts
2.d. (1)	Documented HUB Plan	5								
2.d. (2)	Average Percentage of MWSBE in NC Projects last 5 years	1								
2.d. (3)	List 3 largest Project with MWSBE Percentage	3								
2.d. (4)	Description of how they provide MWSBE Participation	2								
	Subtotal	67	0	0	0	0	0	0	0	0
3. SIMILAR PROJECTS										
3	Fill out information for 3 Similar Project References	20								
	Subtotal	20	0	0	0	0	0	0	0	0
4. SIGNATURE										
4	Fuly executed including notary	0								
	Subtotal	0	0	0	0	0	0	0	0	0
TOTAL POINTS										
		115	0	0	0	0	0	0	0	0
All scores 80 and above will be prequalified.										

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

Pursuant to the statute, this form gathers information about the subcontractors seeking to qualify for the work and provides a general format for the prequalification criteria. **Completing this questionnaire does not guarantee prequalification.** Evaluation of the submittal shall be performed by the construction manager at risk in accordance with project criteria, prequalification plan and G.S. 143-128.2

PREQUALIFICATION DUE DATE/TIME: 5/10/2019 5:00 PM
(date) (time)

Submitted to: Edison Foard/Wharton-Smith, a Joint Venture

CM @ R Firm

5601 Seventy Seven Center Drive, Suite 120

Address

Charlotte, NC 28217

City/State Zip Code

(704) 525-5695

Phone number

704-525-5697

Fax Number

nrrc@whartonsmith.com

E-mail address

Project: Northern Regional recreation Center

Name of Project

Mecklenburg County

Project Owner

LS3P & BRS Architecture

Project Architect

September 2019

Project Start Date (Approx.)

Nineteen (19) Months

Project Duration

July 10, 2019

Anticipated Bid Date

Performance and Payment Bond: Required for Formal Bid Packages \$100,000 or higher

Bid Bond: Will be required for all packages over \$100K. A check for 5% of the bid amount is also acceptable.

NOTICE TO CONTRACTORS: BIDDER PRE-QUALIFICATION REQUEST: Edison Foard/Wharton-Smith, A Joint Venture (EFWS), has been selected as the Construction Manager @ Risk by the Mecklenburg County and is seeking to pre-qualify construction trade and specialty contractors to submit bids for furnishing labor, materials, equipment and tools for the **Northern Regional Recreation Center** project in Cornelius, NC.

PROJECT DESCRIPTION: The project consists of the new construction of an 86,000 regional recreation center building. Project will include a leisure pool, lap pool, fitness areas, locker rooms, gymnasium, indoor track, aerobics rooms, child watch and more. Minority, Women and Small Business participation is highly encouraged. Please note: Plans will not be issued to any contractor until prequalification form is submitted and approved by EFWS.

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

If your firm is interested in prequalifying for this project/phase, please check the box for your trade(s). If multiple bid packages are selected, please make sure that project experience and references are provided to allow for Prequalification Committee to evaluate your firm for EACH bid package selected. This is a preliminary list of Bid Packages and may change based on response and qualified bidders.

Bid Pkg	Scope of Work	Preliminary Budget	Check Box if Prequalifying
02A	Civil/Earthwork	\$3,500,000	

Date from Received by EFWS: _____
(To be completed by EFWS)

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

Section 1. General Company Information

1. a. Primary/Main Office Location

(0 Points. If not completely filled out, proposal is non-responsive and will not be considered for prequalification.)

Company Name

Physical Address

Mailing Address

City/State Zip Code

()

()

Phone number

Fax number

Primary Contact Name

Secondary Contact Name

Primary Contact Email Address

Secondary Contact Email Address

1. b. Organization

Business type (check box) ☐ Corporation ☐ Partnership ☐ Limited Liability Company ☐ Sole Proprietor ☐ Joint

(0-1 Points. If completely filled in give 1 point. If not, give 0 points.)

Venture

Are you listed in Dun & Bradstreet? ☐ Yes ☐ No If yes, what is your number rating ?

Date founded: State of Incorporation: Federal ID #:

Please indicate the following information about key officers, managers and principals:

Title: Full Name: Yrs Service:

Title: Full Name: Yrs Service:

Title: Full Name: Yrs Service:

Indicate your NC Statewide Uniform Certification: (check box): ☐ MBE ☐ HBE ☐ AABE ☐ AIBE ☐ WBE ☐ SDB ☐ DBE

Other (specify) Certifying Agency/State (specify)

Is your firm owned or controlled by a parent or any other organization? ☐ Yes ☐ No

Describe Ownership if Yes:

List all other names your firm has operated as for the past five (5) years:

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

1. c. Licensing Information (Please provide all North Carolina professional licenses required for you to perform your services.)

(0-1 Points. If completely filled in give 1 point. If not, give 0 points.)

<u>NC License number/name of licensee</u>	<u>License Limit/Level</u>	<u>State/County/City Privilege License (provide copy)</u>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>

Has any license ever been denied or revoked? ☐ Yes ☐ No If yes, please describe,

Has a complaint ever been filed with a Contractor's State License Board against your firm? ☐ Yes ☐ No
If yes, please explain briefly the circumstances.

1. d. Types of Work Performed

(0-1 Points. If completely filled in give 1 point. If not, give 0 points.)

Primary Scope of Work:

Secondary Scope of Work:

Other Scope of Work:

What type of work do you self-perform?

1. e. Bonding

1. e. (1) Attach letter, dated within the last 30 days, from your surety company, signed by their Attorney in Fact, verifying their willingness to issue sufficient payment and performance bonds for this project, on behalf of your firm and the dollar limits of that bond commitment, both single and aggregate. Surety company bond rating shall be rated "A" or better under the A.M. Best Rating system or The Federal Treasury List.

Have you attached a surety letter? ☐ Yes ☐ No

(0 or 10 points. If surety letter is provided give 10 points. If not, give 0 points.)

1. e. (2) Have any Funds been expended by a Surety Company on your firm's behalf? ☐ Yes ☐ No If yes, explain
(0 Points. If "Yes", and a sufficient explanation is not provided, this response will not be considered for prequalification.)

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

1. f. Insurance

The minimum requirements of coverage are listed in Article 34 of the State Construction General Conditions. Firms must indicate that they can provide evidence of insurance coverage, should they be the successful bidder by attaching a copy of their insurance certificate. Have you attached a copy of your insurance certificate? ☐ Yes ☐ No

(0 Points. If not completely filled out, proposal is non-responsive and will not be considered for prequalification.)

- Workers Compensation Insurance as required by law and Employer's Liability Insurance Coverage with minimum limits of \$1,000,000.
- Comprehensive general liability with minimum limits of \$1,000,000 per occurrence for bodily injury and \$1,000,000 per occurrence/\$2,000,000 aggregate for property damage.
- Umbrella and excess liability with minimum limits of \$5,000,000 per occurrence and \$5,000,000 aggregate.

Is your firm willing to participate in an OCIP/CCIP insurance program if requested by the Owner/CM? ☐ Yes ☐ No

1. g. Financials

1. g. (1) Attach latest audited financials, including balance sheet and income statement, based on company type. (Firm must submit financial data and may clearly indicate a request for confidentiality to avoid this item from becoming part of a public record.) Have you attached audited statements? ☐ Yes ☐ No

(0-15 Points. Metrics will be scored for appropriate trade package value, up to 15 points.)

Section 2. GENERAL REQUIREMENTS

2. a. Size/Capacity/Workload

2. a. (1) How many full-time permanent employees work for the company? _____

(0-1 Points. If completely filled in give 1 point. If not, give 0 points.)

2. a. (2) Will this project be managed and directed from an office in NC? An office in NC is defined as "The principal place from which the trade or business of the bidder is directed or managed," per GS 143-59 (c). ☐ Yes ☐ No

(0-3 Points. If "Yes", give 3 points. If "No", give 0 points.)

2. a. (3) If the company has more than one office location, how many full-time permanent employees work for the company at the location which will serve this project? _____

(0-1 Points. If completely filled in give 1 point. If not, give 0 points.)

2. a. (4) List the annual dollar value of construction work the company has performed for each year over the last (3) three calendar years (if applicable).

(0-3 Points. If completely filled in give 3 points. If not, give 0 points.)

1 _____ (2016)	2 _____ (2017)	3 _____ (2018)
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2. a. (5) Expected Annual Volume (2019) \$ _____

(0-1 Points. If completely filled in give 1 point. If not, give 0 points.)

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

2. a. (6) How many projects do you currently have under contract or in progress and what is their total dollar value?
(0-5 Points. If completely filled in give 5 points. If not, give 0 points.)

- _____ (# of projects) ;
- \$ _____ (Current projects contract amount);
- \$ _____ (Projects current amount remaining to bill)

2. a. (7) What was your largest job completed? _____ Sq. Ft. \$ _____ (Dollar Amount)
_____ Location _____ Year Completed

(0-5 Points. Take the “dollar amount of largest job completed” and multiply by 1.5. If the result is:
Over 100% larger than the estimated package cost give 5 points.
80%-99% larger give 4 points.
60%-79% larger give 3 points.
20%-59% larger give 2 points.
10%-19% larger give 1 point.
Smaller than 10% give 0 points.)

2. a. (8) Current Back Log \$ _____ (Dollar Amount)

(0-5 Points. Take “current backlog” dollar amount and add “largest job completed (2.a.(3)) multiplied by 1.5”(#1). If the result is less than or equal to 3 year average of Annual Dollar Amounts multiplied by 1.5 (#2), give 0 points. Otherwise, divide #2 by #1 and award points per the resulting value:
0%-19% give 5 points.
20%-39% give 4 points.
40%-59% give 3 points.
60%-79% give 2 points.
80%-99% give 1 point.

2. a. (9) List the three **largest** contracts currently under contract or in progress, including for each, the name of the project, description of work performed, owner, architect, GC/CMR names and contact information, contract dollar values, contract delivery method, percentage complete and currently anticipated completion dates.

(0-3 Points for each project listed. For each project above, give 1 point for each positive reference from the owner, architect and GC/CMR.)

#1 –Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email (If Known)	
Architect Name/Representative (If Known)	
Architect Address/Phone #/Email (If Known)	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	

#2 –Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email (If Known)	
Architect Name/Representative (If Known)	
Architect Address/Phone #/Email (If Known)	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	
#3 –Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email (If Known)	
Architect Name/Representative (If Known)	
Architect Address/Phone #/Email (If Known)	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	

2. b. Litigation/Claims

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

2. b. (1) Has your company been involved in any judgments, claims, arbitration or mediation proceedings, or suits within the last five years, whether resolved or still pending resolution? ☐ Yes ☐ No If yes, state the project name(s), year(s), case number and reason why: _____

(0-2 Points. If “No”, give 2 points. If “Yes”, give 0 points.)

2. b. (2) Are there currently any judgments, claims, arbitration or mediation proceedings or suits pending or outstanding against your company, its officers, owners, or agents? ☐ Yes ☐ No If yes, state the project name(s), year(s), case number and reason why: _____

(0-2 Points. If “No”, give 2 points. If “Yes”, give 0 points.)

2. b. (3) Has your company ever failed to complete work awarded to it? ☐ Yes ☐ No If yes, please provide project name(s), year(s), and reason why: _____

(0-5 Points. If “No”, give 5 points. If “Yes”, give 0 points.)

2. b. (4) Have you ever paid liquidated damages on any project? ☐ Yes ☐ No If yes, state the project name(s), year(s), and reason why. _____

(0-3 Points. If “Yes” without sufficient explanation, give 0 points. If “No,” give 3 points.)

2. b. (5) Has your present company, its officers, owners, or agents ever been convicted of charges relating to conflicts of interest, bribery, or bid-rigging? ☐ Yes ☐ No If yes, state the project name(s), year(s), and reason why. _____

(0-3 Points. If “Yes,” give 0 points. If “No,” 3 points.)

2. b. (6) Has your present company, its officers, owners, or agents ever been barred from bidding public work in North Carolina? ☐ Yes ☐ No If yes, state the project name(s), year(s), case number and reason why. _____

(0-3 Points. If “Yes,” give 0 points. If “No,” 3 points.)

2. c. Safety Record

2. c. (1) List your company’s Experience Modification Rate (EMR) for past three years. (Attach OSHA 300 Log for the last 3 years.) Have you attached OSHA 300 log? ☐ Yes ☐ No

(0-10 Points. If present EMR is 1.0 or less, give 10 points. If greater than 1.0, additional safety records may be requested to achieve 0-10 points.)

Present Rate _____ Last Rate _____ Year Before Rate _____

List any OSHA fines and Jobsite fatalities in the past 3 years with an explanation: _____

2. d. Minority, Women, and Small Business Enterprise (MWSBE) Plan

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

2. d. (1) Does the company currently have a documented plan for engaging MWSBE subcontractor participation?

☐ Yes ☐ No If yes, please attach your company's MSBE plan.

(0-5 Points. If "Yes", give 5 points. If "No", give 0 points.)

2. d. (2) What has been your company's average percentage level of MWSBE participation for projects in North Carolina for the past 5 years: _____%

(0-1 Points. If completely filled in give 1 points. If not, give 0 points.)

2. d. (3) List the MWSBE participation you provided in the three projects cited in Section 2.a. (9) – "Largest Projects"

Project Name	MWSBE %	Owner's Rep	Contact Phone #

(0-3 Points. If completely filled in give 3 points. If not, give 0 points.)

2. d. (4) How do you provide MWSBE participation on your projects? Explain:

(0-2 Points. If completely filled in give 2 points. If not, give 0 points.)

Section 3. Similar Projects

List three (3) current or completed projects of **similar** type, size, and duration of proposed project.

#1 –Similar - Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/Representative	
Owner Address/Phone #/Email (If Known)	
Architect Name/Representative (If Known)	
Architect Address/Phone #/Email (If Known)	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Contract Dollar Value	

State of North Carolina

Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

Percentage Complete	
Current Anticipated Completion Date	
#2 –Similar - Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email (If Known)	
Architect Name/Representative (If Known)	
Architect Address/Phone #/Email (If Known)	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	
#3 –Similar - Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email (If Known)	
Architect Name/Representative (If Known)	
Architect Address/Phone #/Email (If Known)	
GC or CM Name/Representative	
GC or CM Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	

(0-20 Points. If completely filled out, provide 20 points)

Section 4. Signature

State of North Carolina
Prequalification for First –Tier Subcontractors under CM at Risk for the Northern Regional Recreation Center

By signing this document, you are acknowledging that all answers are true to the best of your knowledge.

Company Name (as licensed in NC)

Physical Address

Mailing Address

a. Dated this day of: _____

Submitted by: _____
Signature By Authorized Officer

Print Title of Authorized Officer

Phone: _____
Contact person's phone number

E-mail: _____
Contact person's E-mail address

b. Notary Certification:
North Carolina
_____ County

I, a Notary Public of the County and State aforesaid, certify that _____, personally appeared before me this day and acknowledged the execution of the foregoing instrument. Witness my hand and official seal, this the _____ day of _____, 20____.

(Official Notary Seal or Stamp)

Signature of Notary Public

My commission expires _____, 20 ____