

Mecklenburg County
Advisory Boards, Committees and Commissions
Board Appointments Due

Renewals From 4/1/2012 To 5/31/2012

AUDIT REVIEW COMMITTEE

Number of BOCC Members: 5

Term Length in Years: 3

Purpose

The primary duty of the Audit Review Committee (ARC) is the overseeing of the independent audit, the recommendation for selection of the independent auditor and resolution of audit findings; and the ARC shall be provided all final internal audit reports produced by the Internal Audit Department. The ARC will comprise five voting members and two non-voting ex officio members appointed by the Board of County Commissioners according to its appointment process. The five voting members will comprise four members of the Board of County Commissioners, two from each political party and one general public representative.

Qualifications

Persons interested in serving must have expertise and experience in accounting and auditing. Must be a resident of Mecklenburg County. The ARC collectively should have expertise and experience in accounting, auditing in financial reporting and internal controls.

Special Notes One (1) appointment for a three-year term expiring December 3, 2015.

The Board can nominate and appoint the one eligible applicant, Jeffery Browning.

1 Appointment(s) For 3 Year Term:

Name	Qualifications	Appointed:	Expires	Eligibility
Simmons, Mercer Ward		4/4/2006	04/30/2012	Not eligible for Reappointment

AUDIT REVIEW COMMITTEE Applicants:

Name	Qualifications	R / S
Browning, Jeffery		W/M

AUDIT REVIEW COMMITTEE Members:

Name	Apptd By	Qualifications	R / S	Appointed	Expires	Elig Code	Expired Position
(* = Chairman)							
Bentley, Karen	BOCC		W/F	9/1/2009	12/3/2012	EL	
Clarke, Dumont	BOCC		W/M	9/1/2009	12/3/2012	EL	
Dunlap, George	BOCC		B / M	12/16/2010	12/3/2012	EL	
James, Bill	BOCC		W/M	12/16/2008	12/3/2012	EL	
Simmons, Mercer Ward	BOCC		W/M	4/4/2006	4/30/2012	NE	<==

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Mecklenburg County Advisory Board Application

The Mecklenburg Board of County Commissioners appreciates the interest of all citizens willing to serve their Community through participation on County advisory boards.

For a hard copy of the application form, call the Clerk's office, or send an E-mail. You can also submit the application online thanks to Mecklenburg County e-Government.

All applications will be valid for 1 year from date of submission:

(one board per application)

Name of Advisory Board:	<input type="text" value="Audit Review Committee"/>
Name:	<input type="text" value="Jeffery G. Browning"/>
(Please do not use P.O. Box numbers.)	
Home address:	<input type="text" value="130 Altondale Avenue"/>
City:	<input type="text" value="Charlotte"/>
Zip code:	<input type="text" value="28207"/>
Home Phone:	<input type="text" value="704-499-4625"/>
Work Phone:	<input type="text" value="704-382-6353"/>
E-Mail:	<input type="text" value="jbrowning@amp175.hbs.edu"/>
Occupation:	<input type="text" value="SVP, Audit Services; Chief Ethics & Compliance C"/>
Place of employment:	<input type="text" value="Duke Energy"/>
Race:	<input type="text" value="Caucasian"/>
Sex:	<input type="radio"/> Female <input checked="" type="radio"/> Male
Age:	<input type="text" value="51"/>
Hours per month available for position:	<input type="text" value="10"/>
County advisory board currently serving on:	<input type="text" value="None"/>
Expiration date:	<input type="text" value="N/A"/>
Education:	<input type="text" value="BS-Indiana University; MBA-Notre Dame; AMP-H&"/>
Business and civic experience:	<input type="text" value="Children's Theatre of Charlotte Board - 5 years (chair-elect); 20+ years of audit experience in public accounting and Fortune 500"/>
Areas of expertise and interests/skills:	<input type="text" value="Financial controls and reporting, operational efficiency, ethics, compliance, governance"/>

Below are boards requiring representation of certain professions or sectors of the community. Please check where applicable. Thank you for your interest in serving your community.

Board of Equalization and Review

- Attorney
- CPA
- Commercial Real Estate Representative
- Residential Real Estate Representative

Adult Care/Nursing Home Committies

Your response will determine which you are eligible for. If none apply, you're eligible for both.

- Do you or an immediate family member have a financial interest in a home served by the committee?
- Are you an employee or a board member of a home served by the committee?
- Is an immediate family member a resident in an Adult Care or Nursing Home?

Building Development Commission

- Master Electrical Contractors Association of North Carolina, Inc.
- Mecklenburg General Contractors Association
- Development Industry (nominated by The Charlotte Chamber of Commerce)
- Home Builders Association of Charlotte
- Charlotte Chapter of American Society of Landscape Architects
- Charlotte Heating and Air Conditioning Contractors Association
- Charlotte Plumbing, Heating, Cooling Contractors Association
- Professional Engineers of North Carolina-South Piedmont Chapter
- Multi-family residential industry represent the Charlotte Apartment Association
- National Association of the Remodeling Industry of Charlotte
- General Public Representative
- American Institute of Architects-Charlotte

Please check only one of the qualifications/categories below.

Criminal Justice Citizens' Advisory Committee

County Commission District:

- The Mecklenburg County Bar (Recommended by the Criminal Justice Section of the Mecklenburg County Bar)
- Law Enforcement Representative
- Charlotte-Mecklenburg School System Representative
- Substance Abuse Professional (e.g., A licensed social worker or psychologist)
- Member of the Faith Community
- A person who has been rehabilitated, but gone through the Mecklenburg County criminal justice system.
(The individual should be proposed for consideration by The Center for Community Transitions)

Signature of applicant:

Date: *

Jeffery G. Browning

Senior Vice President – Audit Services
and Chief Ethics and Compliance Officer



Jeff Browning is senior vice president of audit services and chief ethics and compliance officer for Duke Energy. He serves as the chief audit executive and provides executive oversight for the Duke Energy internal audit function. Browning is responsible for establishing the audit function's strategic direction, ensuring the execution of a risk-based audit approach, attracting and developing exceptional professional talent, and serving as the function's interface with the Audit Committee and executive management.

In his role as chief ethics and compliance officer, Browning directs Duke Energy's compliance program, which provides oversight, tracking, and management of the organization's compliance with laws, regulations and

business conduct standards.

Browning worked for Duke Energy from 2001 to 2004 and rejoined the company in 2005. He has held various positions in the financial services industry, including vice president of audit services for Genworth Financial and audit director for Transamerica Corporation. He also served as director of management assurance services for KPMG.

Browning received a bachelor of science degree in business administration, with an accounting concentration, from the Indiana University School of Business and earned a master of business administration degree from the University of Notre Dame Graduate School of Business. He also completed the Advanced Management Program at the Harvard Business School. Browning is a certified public accountant and certified internal auditor.

Browning is past chairman of the board of governors for the Charlotte chapter of the Institute of Internal Auditors (IIA) and is also a member of the IIA's professional conferences committee. An active member of the community, he has served in a volunteer capacity with the United Way of Central Carolinas, the Arts & Science Council and the American Cancer Society. He is a graduate of the Charlotte Arts & Science Council Cultural Leadership Training Program and currently serves on the board of directors and Executive Committee for the Children's Theatre of Charlotte.

Duke Energy, one of the largest power companies in the United States, supplies and delivers electricity to approximately 4 million customers in the Carolinas and the Midwest. The company also distributes natural gas in Ohio and Kentucky. Its commercial power and international businesses operate diverse power generation assets in North America and Latin America, including a growing renewable energy portfolio. Headquartered in Charlotte, N.C., Duke Energy is a Fortune 500 company traded on the New York Stock Exchange under the symbol DUK.

Duke Energy
Corporate Headquarters
526 South Church Street
Charlotte, NC 28202-1802
704-594-6200

www.duke-energy.com

5/12/10

Jeffery G. Browning, CPA, CIA

130 Altondale Avenue
Charlotte, NC 28207
(704) 499-4625 (home)/ (704) 609-6129 (cell)
Email: jrbrowning@amp175.hbs.edu

E D U C A T I O N

Harvard Business School, Boston, MA
Advanced Management Program, October 2008

University of Notre Dame Graduate School of Business, Notre Dame, IN
MBA, Finance concentration, May 1991

Indiana University School of Business, Bloomington, IN
BS Business, Accounting concentration, May 1983

E X P E R I E N C E

DUKE ENERGY CORPORATION, Charlotte, NC
A \$14 billion Fortune 500 diversified international energy company

SVP, Chief Audit Executive, Chief Ethics & Compliance Officer **2005 – Present**

- Promoted to Senior Vice President, June 2010, Chief Ethics and Compliance Officer, December 2008, and Vice President effective January 2007
- Executive accountability for the internal audit, ethics and compliance, corporate and physical security, crisis management and records management functions
- Provide enterprise-wide strategic direction and leadership for an organization of 300 FTEs with a \$20M budget
 - Responsible for fostering, maintaining, and managing effective board, executive, and senior management relationships
 - Facilitated audit function's integration efforts for \$27 billion in transactions
 - Led an enterprise-wide process re-engineering effort that identified over \$160M in savings
 - Re-engineered methodology, quality programs, department branding, and human resources practices, transforming the audit function into a desired and respected source of talent within the company
 - Oversaw international audit efforts in Central and South America and addressed emerging risks in China
 - Selected as one of eight participants on an enterprise-wide strategy initiative to research and advise on future strategic direction for the business
- Achieved outstanding quality assurance review results from PricewaterhouseCoopers, with audit function rated high performing or above in every category
- Ensured ethics and compliance program exceeded Federal Sentencing Guidelines requirements
- Directed development and implementation of regulated utility compliance programs, data privacy efforts, Foreign Corrupt Practices Act training and programs, lobbying and governmental affairs compliance programs and efforts associated with executive involvement on the Democratic National Convention Host Committee

GENWORTH FINANCIAL, Richmond, VA **2004 – 2005**
\$10 billion insurance spin-off of the General Electric Company (GE)

Vice President, Corporate Audit Services

- Provided executive oversight and direction in establishing the global internal audit function
- Established internal audit foundation including charter, organization design, staffing model, Audit Committee interface, audit methodology and risk assessment, performance management, training curriculum, and recruiting approaches
- Provided coverage in 20+ countries for all insurance lines, annuity and retirement products, and mortgage insurance business

- Oversaw international function development, including consideration and integration of Financial Services Authority requirements
- Developed Audit Committee checklist under direction of Audit Committee Chair that was ultimately provided to Moody's as a governance tool
- Coordinated updating, tailoring and integration of GE audit methodology into new organization.

DUKE ENERGY CORPORATION, Charlotte, NC

2001 – 2004

Senior Director, Corporate Audit Services

- Led restructuring of organization to develop "best in class" function
- Directed audit coverage for various businesses including regulated utility, telecommunications, real estate, commercial lending, environmental, health & safety, and corporate services
- Integrated Sarbanes-Oxley Act impacts into audit and risk management environment
- Coordinated various enterprise-wide governance efforts including an Audit Committee effectiveness assessment and development of an investigatory process protocol

KPMG, Charlotte, NC

2000 – 2001

Director, Management Assurance Services

- Introduced and developed a Big 4 specialty practice in the Southeast region through opportunity assessment, prospecting, sales call initiation, proposal development, and service delivery
- Provided internal audit and consulting services to multiple industry segments including consumer markets, retail, industrial markets, manufacturing, financial services and telecommunications
- Led multidisciplinary teams in executing comprehensive business risk assessments, operational assessments, process improvement activities and reengineering initiatives

TRANSAMERICA CORPORATION, Charlotte, NC

1994 – 1999

Audit Director

- Directed staff of 12 in execution of integrated audits and consulting projects for a Fortune 500 financial services organization
- Executed annual risk assessments and developed risk-based audit plans linked to entity wide and business unit objectives for commercial finance, floor plan financing, business credit, insurance, annuities, and reinsurance
- Selected to lead senior executive-sponsored projects encompassing areas including off balance sheet financing, US and European credit and operating practices, reinsurance deal and contract review, variable investment product processing, and enterprise cash management

Prior Experience as an Audit Manager for KPMG; Senior Auditor for Consec, Inc.; an Associate Group Pension Underwriter for American United Life Insurance and Assistant General Manager for American Drug Stores.

P R O F E S S I O N A L A F F I L I A T I O N S

INSTITUTE OF INTERNAL AUDITORS

Past Committee Member, International Conference Committee
Past Committee Member, Professional Conferences Committee
Past Chairman, Board of Governors, Charlotte Chapter
Past District Representative

COMPLIANCE WEEK

Past Conference Speaker and Past Advisory Board Member

C O M M U N I T Y I N V O L V E M E N T

CHILDREN'S THEATRE OF CHARLOTTE

Board and Executive Committee Member

Chair-Elect, 2012 – 2013

Chairman, Development

Past Chairman, Strategic Planning and Operations Committee

ARTS & SCIENCE COUNCIL

Executive Fundraising Campaign Cabinet, 2011

Executive Sponsor, 2010 Duke Energy Employee Campaign

Past Executive Lead, Professional Services Fundraising Cabinet

Graduate, Cultural Leadership Training Program

Queens University McColl School of Business MBA Program, Adjunct Faculty, 2011

United Way Center City Campaign Team, 2007 – 2008

American Cancer Society Swordsman's Ball, Committee Member, 2006 – 2007