

Audit Review Committee

Applicant At-A-Glance

One (1) Unexpired Term expiring February 28, 2025

Name	District	Gender	Ethnicity
Alvarado, Keli	4	Female	Caucasian/Non-Hispanic

Statement to Applicants

Profile**Which Boards would you like to apply for?**

Audit Review Committee: Submitted

Keli

First Name

Alvarado

Last Name

What other names have you used? (includes, legal names, aliases, maiden names or professional monikers) NOTE: If none, please note N/A

Keli Patterson, Keli Morrison

kelik.alvarado@gmail.com

Email Address

2441 Kingsbury Dr

Home Address

Charlotte

City

NC

State

28205

Postal Code

Mobile: (804) 229-8086

Primary Phone

Business: (704) 988-4832

Alternate Phone

What Mecklenburg County District do you live in? Please verify below. *

 4**How long have you been a resident of Mecklenburg County? Please include months, or years.**

7 years

My age range is (please select one): *

 46 to 55**Ethnicity ***

 Caucasian/Non-Hispanic**Gender ***

 Female

Interests & Experiences

Keli Alvarado

Education

B.S. Business Administration, University of Richmond

TIAA
Employer

Sr. Director, REA & Trust
Controller
Occupation

Business and civic experience

I have more than 30 years of accounting and auditing experience across several large financial institutions and other public companies.

Area of expertise and interests/skills

Financial reporting, accounting, financial close process, financial controls, audit management

Additional Information

If you are or have ever served on a Mecklenburg County board/commission, please answer yes or no. If yes, please disclose the Board and term-end date.

N/A

Why are you interested in serving on the board(s) to which you are applying?

I have some extra time to devote outside of work and want to start getting more involved in my community. When I read the email I received from Mecklenburg County community relations about advisory board openings, it sparked my curiosity. Once I saw the opening on the Audit Review Committee, I knew that my skills and experiences would be a good fit and that I could add value.

Have you attended a meeting of the advisory board(s) to which you are applying?

Yes No

Hours Per Month Available for Position

5

How did you learn of the vacancy? *

NotifyMe Email

[KKA_04-2023.pdf](#)

Upload a Resume

Disclosure

Are you a Mecklenburg County resident?

Yes No

Keli Alvarado

Are you a current Mecklenburg County employee? (Mecklenburg County employees are prohibited from serving on any board where appointments are made by the Board of Commissioners. If you are a current, county employee who is to serve in an ex-officio and/or non-voting capacity on any board when required by law, please email clerk@mecknc.gov before submitting an application.)

Yes No

Are you a current vendor with Mecklenburg County?

Yes No

• Do you have any personal or business interest that could create a conflict (either real or perceived) if appointed? If yes, please explain the conflict.

Yes No

Disclaimer

I, hereby, authorize Mecklenburg County to verify all information included in this application. I certify that I have read the appointment policy and that the information contained in this application is true according to the best of my knowledge and belief. I certify that I am providing my legal name and address in which I reside. I understand that inaccurate or untrue information will be cause for removal from any appointed advisory board. By submitting this application, I agree to adhere to all County policies pertaining to advisory boards, including attendance. I understand if I do not achieve 65% annual attendance, I will be automatically removed from the board or commission to which I am appointed. I understand that this application is subject to the N.C. Public Records Law (NCGS § 132-1) and may be released upon request.

I Agree

Signature of Applicant (Sign Your Legal Name):

Keli Kay Alvarado

Board Specific Questions

Question applies to Audit Review Committee

Do you have experience and expertise in accounting and auditing?

Yes No

KELI K. ALVARADO, CPA

2441 Kingsbury Drive, Charlotte, NC 28205
kelik.alvarado@gmail.com • (804) 229-8086

Driven, results-focused senior accounting leader with diverse technical and operational experience. Effective communicator adept at building strong relationships with business partners and leading cross-functional teams. Key focus areas include:

- Corporate Accounting
 - Internal Reporting
 - Process Metrics
 - Financial Close Process
 - External Reporting
 - Change Management
 - Accounting Systems
 - Financial Controls
 - Agile Project Delivery
-

PROFESSIONAL EXPERIENCE

TEACHERS INSURANCE AND ANNUITY ASSOCIATION OF AMERICA, CHARLOTTE, NC 2019 – present

Senior Director, REA and Trust Controllership

Director, External Reporting

Lead external GAAP reporting, regulatory reporting and auditor independence functions

- Perform broad range of controllership functions for TIAA Real Estate Account, including SEC, Board and management reporting, transaction support, debt compliance and expense management
- Oversee timely filing of 100+ regulatory reports on annual basis
- Designed and implemented future state organization structure to incorporate new functions and optimize resources by moving work offshore
- Achieved satisfactory internal audit results across all areas of responsibility
- Successfully remediated inherited regulatory reporting deficiencies by building good relationship with regulator staff through transparency, frequent communications and regular progress updates
- Developed and rolled out new Auditor Independence training module to 300+ employees
- Partnered with Office of the Corporate Secretary and Legal to complete the Master Entity List ownership transition and conduct enterprise-wide effort to remediate data gaps

WELLS FARGO & COMPANY, CHARLOTTE, NC 2017 – 2019

External Financial Reporting Senior Manager

Led team of employees and consultants implementing an automated regulatory reporting solution as part of an enterprise-wide strategic program to build an integrated repository of instrument level data.

- Collaborated across the program and with other internal business partners to ensure business requirements, compliance and risk management objectives were met.
- Provided status updates to senior leaders across finance, technology and data management teams.
- Relationship manager for key software and professional services vendor; negotiated service level agreements and implemented metrics to track performance.

CAPITAL ONE FINANCIAL CORPORATION, RICHMOND, VA 2011 – 2017

Senior Director—Regulatory Reporting

Directed a team of 35 associates and contractors responsible for centralized reporting to regulators.

- Designed and implemented new team structure to address gaps
 - Established new Regulatory Policy function, defined priorities and engagement model.
 - Formed Change Management team to support corporate and line of business initiatives as well as technology enhancements and process changes needed to meet new regulatory requirements.

- Product manager for four agile scrum teams transforming regulatory reporting as part of strategic project to develop centralized data infrastructure and implement regulatory filing software.
- Served as Global Finance relationship manager for offshore resource vendor; partnered with Capital One's India office to implement a creative staffing solution.
- Served as Performance Management Champion and Immersion Council member; actively involved in Controller's Group talent management activities, recruiting and mentoring.

Director—Central Accounting

Led a multi-site team of 40 associates and offshore contractors responsible for enterprise-wide close and consolidation process, accounting systems and centralized accounting functions:

- Equity accounting, including EPS calculation
- Compensation and benefits, including stock compensation, pension and postretirement benefits
- Intercompany accounting, including interunit settlement process
- Accruals, fixed assets, goodwill and intangible assets
- Key accomplishments included:
 - Led cross-functional team that successfully implemented automated journal entry workflow technology and was recognized with Capital One's top honor, the *Circle of Excellence* award.
 - Redesigned monthly internal financial reporting package to meet customer needs.
 - Achieved compliance with critical business process requirements for three key processes.
 - Implemented enterprise-wide journal entry quality assurance compliance testing program.

COLFAX CORPORATION, RICHMOND, VA

2008 – 2011

Vice President & Controller

Led all aspects of accounting and financial reporting for a newly public international manufacturer.

- Implemented effective system of internal controls for corporate accounting and financial reporting processes, contributing to successful first year S-Ox compliance.
- Drove 35% reduction in number of days to file Form 10-Q with the SEC.
- Reduced audit fees by 20% by improving quality and timeliness of external audit assistance.

DOMINION RESOURCES, INC., RICHMOND, VA

2001 – 2008

Director—Corporate Accounting

Directed organization-wide financial close process, preparation of consolidated financial statements and reporting of financial results to senior management and the Board of Directors.

- Additional responsibilities included:
 - All accounting and reporting requirements of Dominion's centralized services company, including monthly billing of costs to entities served
 - Centralized accounting for debt, equity, and employee benefits
 - Quarterly regulatory reporting for Dominion's electric utility subsidiary

Director—Financial Reporting & Research

Led SEC reporting and accounting policy teams.

- Drafted comment letters to accounting standard setters and responses to SEC comment letters. Actively participated in teleconferences with SEC staff.
- Led energy industry efforts to shape development and interpretation of accounting standards, serving as Vice Chair of Edison Electric Institute's Accounting Standards Committee.
- Presented in person to Audit Committee and to FASB staff at annual industry liaison meeting.

CSX CORPORATION, RICHMOND, VA

1995 – 2000

Director—Financial Planning

- Coordinated three-year strategic planning and monthly forecast processes.
- Drafted CFO's presentations to the Board of Directors, executives and investment analysts.
- Prepared annual departmental budget for corporate headquarters.

Manager—Financial Reporting

- Drafted quarterly and annual financial statements for periodic SEC filings.
- Led successful conversion to Hyperion Enterprise financial consolidation and reporting system.
- Researched accounting for unique transactions, including acquisitions and divestitures.

ERNST & YOUNG LLP, RICHMOND, VA

1991 – 1995

Planned and performed financial statement audits of financial institutions.

EDUCATION AND CERTIFICATIONS

UNIVERSITY OF RICHMOND, RICHMOND, VABachelor of Science in Business Administration, *Accounting, Cum Laude*

1991

CERTIFIED PUBLIC ACCOUNTANT, Virginia Board of Accountancy

1992

Mecklenburg County, NC

Audit Review Committee

Board Details

The primary duty of the Audit Review Committee (ARC) is to assist the Board of County Commissioners in fulfilling its oversight responsibilities for the financial reporting process, the system of internal and external controls, as well as Mecklenburg County's process for monitoring compliance with laws, regulations, and the Code of Ethics.

Overview

Size 5 Seats

Term Length 3 Year

Term Limit 2 Terms

Contact

Name Brianna Collins

Phone (980) 314-2668

Additional

Qualifications

Persons interested in serving must have expertise and experience in accounting and auditing. Persons serving must be a resident of Mecklenburg County. Appointed members must attend a minimum of 65% of all scheduled meetings in a calendar year and cannot miss three consecutive meetings. Failure to comply with attendance requirements will result in removal from the advisory board.

Advisory Board Details

The ARC will be comprised of five voting members and two non-voting ex-officio members appointed by the Board of County Commissioners according to its appointment process. The five voting members will be comprised of four members of the Board of County Commissioners, and to the degree possible, two of whom shall be from different political parties, when the Board of County Commissioners political composition permits, and one outside person who has experience in accounting and/or auditing and who is appointed through the advisory board appointment process. Ideally, members on the Committees should possess some understanding of governmental financial reporting and auditing. The County Manager and a staff member designated by the County Manager will serve as the two non-voting ex officio members.

Meeting Dates/Times

1st Tuesday at 2:00 p.m., Quarterly

Meeting Location

Charlotte Mecklenburg Government Center - 600 East 4th Street, Charlotte, NC 28202, CH-14
(Basement Level)

Time Commitment

1.5 hour for four meetings

Stipend

No

Special Notes

2/24/22 (A. Alexander): Alexander Vuchnich second term ended on Dec. 12-31-21. Mr. Vuchnich was serving until another applicant was appointed.

Job Description

Audit Review Committee

Board Roster

Pat Cotham

2nd Term Jan 21, 2015 - Dec 31, 2024

No Recruitment

Appointing Authority BOCC CHAIR

Arthur Griffin Jr

1st Term Dec 06, 2022 - Dec 06, 2024

Appointing Authority BOCC CHAIR

Vilma Leake

2nd Term Dec 14, 2020 - Dec 31, 2024

No Recruitment

Appointing Authority BOCC CHAIR

Laura Meier

2nd Term Dec 14, 2020 - Dec 31, 2024

No Recruitment

Appointing Authority BOCC CHAIR

Vacancy

Appointing Authority BOCC