Internal Audit
Department Management Report
June 2020

Overview

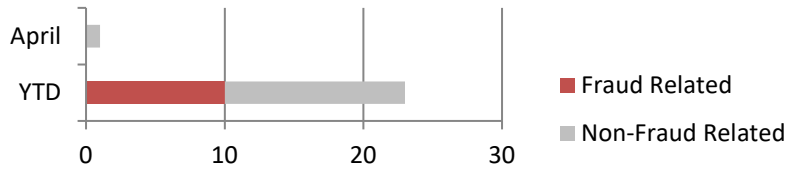
The Department of Internal Audit provides the Board of County Commissioners, management, and key stakeholders independent and objective assurance and consulting for County programs, services, and operations to improve the accuracy, integrity, efficiency, and effectiveness of financial, operational, technology, and compliance activities.

FY20-22 Strategic Business Plan Update

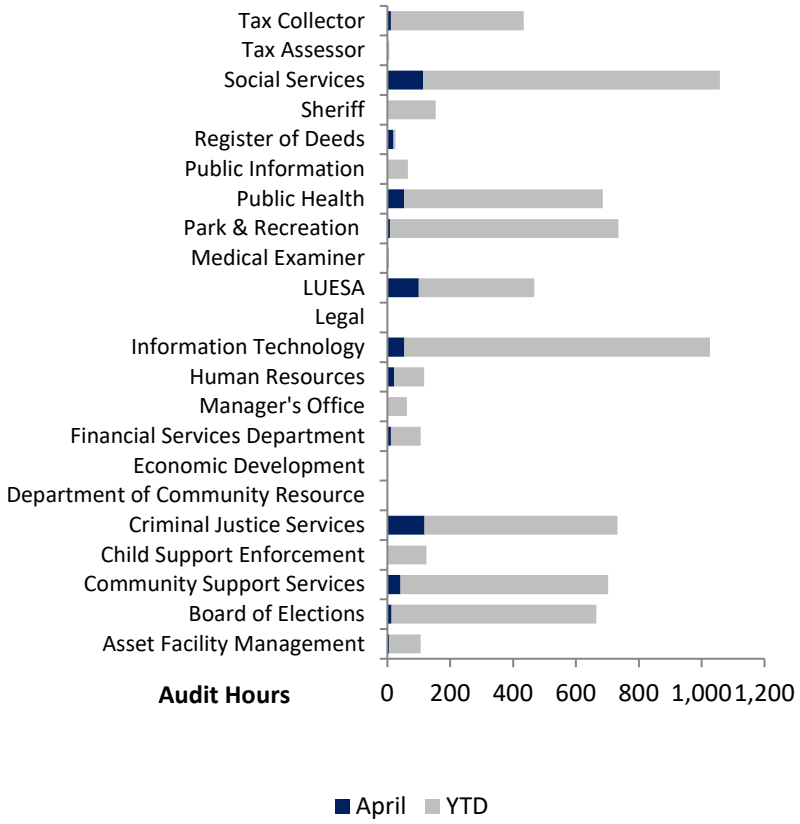
Goal 1 AUD.1 Effect Positive Change in the County's Governance, Risk Management, and Control Activities: Strategy AUD.1.1.B—Develop and execute an optimal annual audit plan: Internal Audit began transition to remote only audit activities and adjusted its audit plan as needed. The adjustment required postponing new audits, which require onsite activities, and continuing fieldwork and reports for the remaining nine assurance and technology audits. The department also reassessed its structure and promoted an internal candidate for a third audit manager position to improve the oversight and execution of the audit activities. *AUD.1.4.C*—Create a development plan to meet staff's professional goals and the department's mission and objectives—Staff provided all-day training on new audit management system and other development topics. *AUD.1.1.C—Provide County employees' education on aspects related to governance, risk management, and control.* Continue developing Fraud Hotline system and investigator training for all investigators and system users.

Key Performance Indicators – April 2020

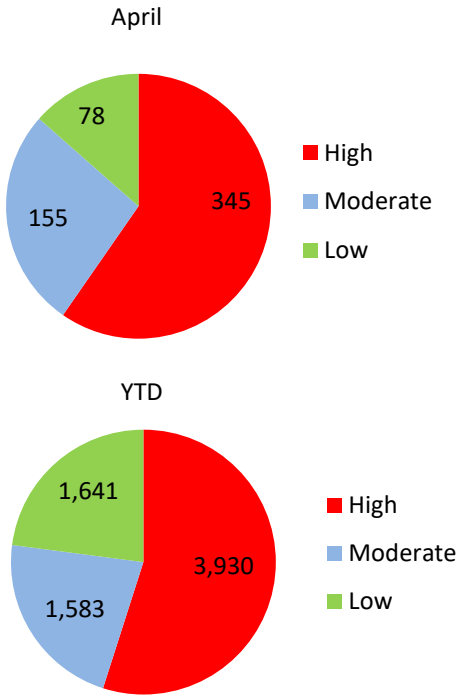
Fraud Hotline Activity (Incidents Received)



Audit Hours by Department



Audit Hours by Department Risk Ranking



Context for Key Performance Indicators

Internal Audit strategically focuses on high- and moderate-risk departments, as well as audit efficiencies, to maximize the impact of audit activities.